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Standard Test Method for Determining Bacterial Retention of Membrane Filters Utilized for Liquid Filtration¹

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NOTE—Fig. 1 was editorially updated and the year date changed on Sept. 30, 2015.

ε¹ NOTE—9.1 was editorially corrected in August 2018.

1. Scope

1.1 This test method determines the bacterial retention characteristics of membrane filters for liquid filtration using *Brevundimonas diminuta* as the challenge organism. This test method may be employed to evaluate any membrane filter system used for liquid sterilization.

1.2 This test method is not intended to be used in performance of product- and process-specific validation of the bacterial retention characteristics of membrane filters to be used in pharmaceutical or biopharmaceutical sterilizing filtration, or both. Process- and product-specific bacterial retention validation should be carried out using the intended product manufacturing process parameters and the product solution or surrogate as the carrier fluid.

1.3 The values stated in SI units are to be regarded as standard.

1.3.1 *Exception*—The inch-pound values given for units of pressure are to be regarded as standard; SI unit conversions are shown in parentheses.

1.4 *This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety, health, and environmental practices and determine the applicability of regulatory limitations prior to use.*

1.5 *This international standard was developed in accordance with internationally recognized principles on standardization established in the Decision on Principles for the Development of International Standards, Guides and Recommendations issued by the World Trade Organization Technical Barriers to Trade (TBT) Committee.*

¹ This test method is under the jurisdiction of ASTM Committee E55 on Manufacture of Pharmaceutical and Biopharmaceutical Products and is the direct responsibility of Subcommittee E55.03 on General Pharmaceutical Standards.

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2. Referenced Documents

2.1 *ASTM Standards*:²
D1193 Specification for Reagent Water

3. Terminology

3.1 *Definitions*:

3.1.1 *log reduction value*—the logarithm to the base 10 of the ratio of the number of microorganisms in the challenge to the number of organisms in the filtrate.

4. Summary of Test Method

4.1 After sterilization, the test filter is challenged with a suspension of *B. diminuta* (ATCC 19146³) at a concentration of 10⁷ organisms per cm² of effective filtration area (EFA) at a maximum differential pressure across the test filter of 30 psig (206 kPa) and a flow rate of 2 to 4 × 10⁻³ LPM per cm² of effective filtration area. The entire filtrate is then filtered through an analytical membrane filter disc, which is subsequently incubated on a solidified growth medium. Microorganisms that are not retained by the filter being tested will develop into visible colonies on the analysis membrane and can then be enumerated.

5. Significance and Use

5.1 This test method is designed to assess the retentivity of a sterilizing filter under standard challenge conditions.

5.1.1 A challenge of 10⁷ bacteria per cm² of effective filtration area is selected to provide a high degree of assurance that the filter will be challenged uniformly across the membrane surface to assure it will quantitatively retain large numbers of organisms. The model challenge organism, *B. diminuta*, is widely considered to be a small bacterium and is

² For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

³ Available from American Type Culture Collection (ATCC), 10801 University Boulevard, Manassas, VA 20110, <http://www.atcc.org>.

recognized as an industry standard for qualifying sterilizing filters. Other species may represent a worst-case test in terms of ability to penetrate a filter. This test does not provide assurance that filters can completely retain such bacteria.

5.1.2 The analytical procedure utilized in this test method provides a method to assign a numerical value to the filtration efficiency of the filter being evaluated under standard filtration conditions. For the purpose of product sterility assurance, additional process-specific studies should be performed.

6. Apparatus

6.1 Assemble the apparatus described below as in Fig. 1:

6.1.1 *Stainless Steel Pressure Vessel*, 12-L capacity (or larger), fitted with a 0 to 50-psi (0 to 350-kPa) pressure gauge.

6.1.2 *Air Regulator*.

6.1.3 *47-mm–142-mm Analysis Disc Filter Assemblies*, two or more, with hose or sanitary connections as applicable.

6.1.4 *Diaphragm-Protected 0 to 50-psi (0 to 350-kPa) Pressure Gauge*, for upstream pressure reading.

6.1.5 *Manifold*, with valves (autoclavable) and hose connections.

6.1.6 *Autoclavable Tubing*, (must be able to withstand a pressure of 50 psi (350 kPa)).

6.1.7 *Filter Housing*, with hose connections.

6.1.8 *Hose Clamps*.

6.1.9 *Incubator*, $30 \pm 2^\circ\text{C}$.

6.1.10 *Laminar Flow Bench*.

6.1.11 *Smooth-Tip Forceps*.

6.1.12 *Test Filter*.

7. Purity of Reagents and Materials

7.1 *Purity of Reagents*—Reagent grade chemicals shall be used. Unless otherwise indicated, all reagents shall conform to

the specifications of the American Chemical Society, where such specifications are available.⁴

7.2 *Purity of Water*—Unless otherwise indicated, references to water shall mean reagent water, Type IV as defined in Specification D1193.

7.2.1 Additionally, any water used in this test method must conform to the requirements for non-bacteriostatic water specified in the current edition of *Standard Methods for the Examination of Water and Wastewater*.⁵

8. Reagents and Materials

8.1 *Saline Lactose Broth Medium*:

8.1.1 *Lactose Broth*—Dissolve 1.3 g of dehydrated lactose broth medium in 100 mL of water.

8.1.2 *Sodium Chloride Solution*—Dissolve 7.6 g of sodium chloride (NaCl) in 970 mL of water in a 2-L flask with an appropriate closure.

8.1.3 Add 30 mL of lactose broth (8.1.1) to 970 mL of sodium chloride solution. Autoclave at 121°C for 15 min.

8.2 *Frozen Cell Paste Method*:

8.2.1 *Growth Medium A*—Dissolve in water and dilute to 1 L. Autoclave at 121°C for 15 min (pH 6.8 to 7.0).

Tryptic Peptone (or Casitone)	7.5 g
Yeast Extract	2.5 g
Sodium Chloride (NaCl)	0.5 g
Magnesium Sulfate ($\text{MgSO}_4 \cdot 3\text{H}_2\text{O}$)	0.35 g

⁴ *Reagent Chemicals, American Chemical Society Specifications*, American Chemical Society, Washington, DC, www.chemistry.org. For suggestions on the testing of reagents not listed by the American Chemical Society, see *Analar Standards for Laboratory Chemicals*, BDH Ltd., Poole, Dorset, U.K., and the *United States Pharmacopeia and National Formulary*, U.S. Pharmacopeial Convention, Inc. (USPC), Rockville, MD, <http://www.usp.org>.

⁵ Available from the American Public Health Association (APHA), 800 I Street, NW, Washington, DC 20001-3710, <http://www.apha.org>.

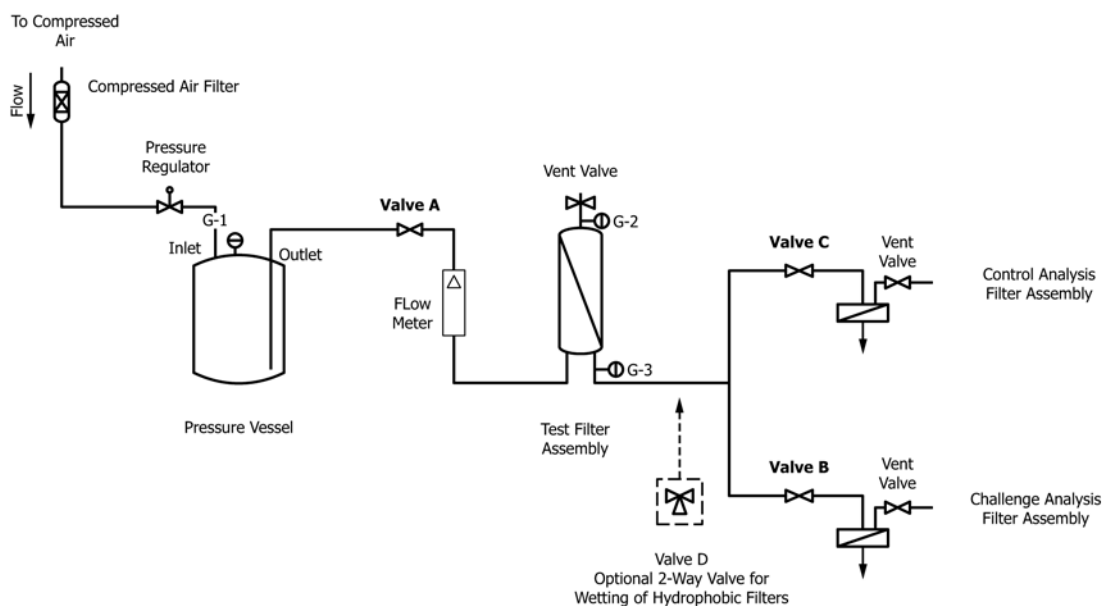


FIG. 1 Test Set-Up for Bacteria Retention Testing

8.2.2 *Harvesting Buffer*—Dissolve 0.790 g of monobasic potassium phosphate (KH_2PO_4) and 1.0 g of K_2HPO_4 in 100 mL of glycerol ($\text{C}_3\text{H}_8\text{O}_3$). Adjust to pH 7.2 with 0.1 N potassium hydroxide solution. Dilute to 1 L with water and sterilize at 121°C for 15 min.

8.2.3 *Potassium Hydroxide Solution (0.1 N)*—Dissolve 5.61 g of potassium hydroxide (KOH) in water and dilute to 1 L in a volumetric flask.

8.2.4 *Tryptic Soy Agar*—Prepare according to manufacturer's instructions.

8.2.5 *Tryptic Soy Broth*—Prepare according to manufacturer's instructions.

8.3 Analytical Reagents and Materials:

8.3.1 *M-Plate Count Agar*—Prepare according to manufacturer's instructions.

8.3.2 *Peptone Water (1 g/L)*—Dissolve the peptone in water. Dispense suitable volumes, for preparing decimal dilutions, into screw-cap containers. Autoclave at 121°C for 15 min.

8.4 *B. diminuta* (ATCC 19146).

8.5 *Analytical Membrane Filters*, 47-mm or 142-mm diameter, 0.45 µm pore size, 130 to 160 µm thick.

8.6 *Petri Dishes*, 150-mm diameter.

9. Methods for Preparation of Bacterial Challenge Stock Suspension

9.1 *General*—The following two methods have been used extensively for the preparation of *B. diminuta* challenge suspensions. The presentation of these methods is not meant to exclude other equally valid methods for the preparation of *B. diminuta*. It is important, however, that any *B. diminuta* challenge suspension used is monodisperse and meets the criteria set forth in Section 10.

9.2 Reconstitute the culture according to directions provided by the American Type Culture Collection (ATCC). Check the purity of the reconstituted culture by means of streak plates. Examine for uniform colony morphology, and identify single-cell isolates as *B. diminuta* in accordance with Section 10.

9.2.1 *Stock Cultures*—Prepare stock cultures from single cell isolates of 9.2. Inoculate tryptic soy agar slants and incubate at $30 \pm 2^\circ\text{C}$ for 24 h. Overlay slants with sterile mineral oil and store at 4°C. Check weekly for viability and purity. Alternatively, tryptic soy semisolid agar stab cultures may be substituted for the slant cultures.

9.2.2 *Long Term Storage of Cultures*—Lyophilize or store in liquid nitrogen.

9.3 *Preparation of Challenge Stock Suspension in Saline Lactose Broth:*

9.3.1 Inoculate 10-mL sterile tryptic soy broth with stock culture (9.2.1) and incubate at $30 \pm 2^\circ\text{C}$ for 24 h.

9.3.2 Transfer 2 mL of agitated broth culture to 1 L of sterile saline lactose broth, swirl to mix inoculum and incubate at $30 \pm 2^\circ\text{C}$ for 24 h. Check purity of seed broth.

NOTE 1—Saline lactose broth suspension may be stored at 4°C for up to 8 h prior to use.

9.3.3 Determine the concentration of viable cells in the challenge suspension according to Section 11 (expected concentration is 10^7 to 10^8 cells/mL).

9.3.4 Identify the organisms as *B. diminuta* in accordance with Section 10.

9.4 Preparation of Frozen Cell Paste of *B. diminuta*:

9.4.1 Inoculate 10 mL of Sterile Growth Medium A (8.2.1) with the stock culture (9.2.1) and incubate at $30 \pm 2^\circ\text{C}$ for 24 h.

9.4.2 Transfer 10 mL of the bacterial suspension from 9.3.1 into 500 mL of Sterile Growth Medium A and incubate at $30 \pm 2^\circ\text{C}$ for 24 h.

9.4.3 Prepare 10 L of a seed culture by transferring 200 mL of the bacterial suspension from 9.4.2 into 10 L of Sterile Growth Medium A. Incubate at $30 \pm 2^\circ\text{C}$ for 24 h.

9.4.4 Inoculate the 10 L of the seed culture into 500 L of Growth Medium A. Grow aerobically at $30 \pm 2^\circ\text{C}$. Monitor growth spectrophotometrically at 500 nm, and plot growth curve.

9.4.5 When the culture reaches the stationary phase, harvest the cells by continuous flow centrifugation.

9.4.6 Re-suspend cells in two to three volumes of cold sterile harvesting buffer.

9.4.7 Centrifuge suspension and re-suspend cells in an equal volume of harvesting buffer. Determine the cell concentration (expected concentration of viable cells is 1×10^{12} cells/mL).

9.4.8 Transfer aliquots (for example, 50 mL) of cell paste into sterile plastic centrifuge tubes, and freeze using dry ice-acetone batch or liquid nitrogen. Store frozen cell paste at -70°C .

9.5 Preparation of Challenge Stock Suspension from Frozen Cell Paste:

9.5.1 Disinfect the tube containing the cell paste by dipping tube in 80 % ethyl alcohol and flaming just long enough to burn off most of the alcohol. Use sterile tongs to hold tube.

9.5.2 Aseptically remove the cap from the tube and drop the tube into a sterile Erlenmeyer flask containing a sterile magnetic stirring bar and 20 cell volumes of a sterile solution of 0.9 % NaCl which contains 0.001 to 0.002 M MgCl_2 at room temperature (for example, transfer a 50-mL aliquot of frozen cell paste into 1 L of sterile solution).

NOTE 2— MgCl_2 must be in the solution prior to adding the frozen cell paste to prevent dumping during thaw.

9.5.3 Place the flask on a magnetic stirring unit, and mix until the entire contents of the tube is suspended evenly (about 40 min).

9.5.4 Determine the concentration of viable cells according to Section 11 (expected concentration of the cell suspension is 1 to 2×10^{10} cells/mL).

9.5.5 Identify the organism as *B. diminuta* in accordance with Section 10.

10. Identification of *B. diminuta*

10.1 Colonial Morphology:

10.1.1 Colonies of *B. diminuta* are yellow-beige, slightly convex, complete and shiny.

10.1.2 At 30°C (optimum growth temperature) colonies are microscopic to pinpoint after 24 h and 1 to 2-mm diameter after 36 to 48 h.

10.2 Microscopic Examination:

10.2.1 Prepare a Gram stain.

10.2.1.1 Examine the preparation with a compound light microscope fitted with a calibrated ocular micrometer and an oil immersion objective lens with good resolving power (for example, a planachromatic objective with a numerical aperture of 1.2 or greater). Observe several microscopic fields for organisms' size and arrangement of cells.

10.2.1.2 Stained preparations should reveal a Gram-negative, small, rod-shaped organism about 0.3 to 0.4 µm by 0.6 to 1.0 µm in size, occurring primarily as single cells.

10.2.2 Prepare a flagella stain (optional). *B. diminuta* is characterized by a single, polar flagellum.

10.3 Biochemical Characterization:

10.3.1 Perform a number of the following biochemical characterization tests. *B. diminuta* gives the results indicated:⁶

Test	<i>B. diminuta</i> (ATCC 19146)
Spore formation	–
OF glucose medium, open	–
OF glucose medium, sealed	–
OF ethanol (3 %) medium, open	+
OF ethanol (3 %) medium, sealed	–
Indole	–
Methyl red	–
Acetylmethylcarbionol	–
Gelatinase	–
Aerobe	+
Catalase	+
Cytochrome (Indophenol) oxidase	+
Growth on MacConkey agar	+
Denitrification	+
DNAase (BBL DNase Test agar or equivalent)	–
Centrimide tolerance	–

11. Preparation of Bacterial Challenge Suspension

11.1 Determine by direct microscopic count the bacterial titre of the suspension. This will determine the total number, viable and nonviable, cells present.

11.2 Using the appropriate volume of a challenge stock suspension, prepare an appropriate volume of a challenge suspension of *B. diminuta* in a saline lactose broth or sterile saline to contain a minimum total of 10⁷ organisms per square centimetre of test filter area 10¹⁰ m/ft². Mix well.

11.3 Aseptically remove a sample from the prepared challenge suspension of *B. diminuta*.

11.4 Within a laminar flow hood, aseptically prepare dilutions of the suspension through 10^{–6} using 0.1 % Peptone water.

11.5 Perform viable cell assay, in duplicate, using the membrane filter assay or direct spread plate assay under

conditions that are similar to those specified for sterility testing in the current edition of the *United States Pharmacopeia*.⁷

11.5.1 For the membrane filter assay, use 1 mL from the 10^{–4} through the 10^{–6} dilutions. Place 50 mL of sterile 0.9 % NaCl solution into the funnel of the filter holder prior to adding the 1.0 mL aliquots of the decimal dilutions. Filter and wash the walls of the funnel with 50 mL of sterile 0.9 % NaCl solution. Remove assay membrane from funnel, and place on agar medium.

11.5.2 For the direct spread plate assay, use 0.1 mL from 10^{–3}, 10^{–4}, 10^{–5} dilutions.

11.6 Incubate the membrane or spread assay plates at 30 ± 2°C for 48 h.

11.7 Count the colonies on the plates showing between 30 and 300 colonies (20 to 200 colonies on membrane filters) and calculate the concentration cell/mL of the original suspensions.

11.8 Compare the viable titre with the direct microscopic count determined in 11.1. The viable count should be no less than 25 % of the total cell count.

12. Equipment Preparation

12.1 Install the filter to be tested in the housing. Wrap the inlet and outlet connections with autoclave paper, and autoclave according to manufacturer's instructions. Alternatively, the test filter may be steam sterilized in-situ or gamma irradiated according to manufacturer's instructions. The sterilization procedure should be validated using biological indicators, thermocouples, or other appropriate devices (dosimeters).

12.1.1 Aseptically perform an integrity test on the filter using an appropriate procedure recommended by the filter manufacturer.

12.2 Assemble analysis filter membranes in filter assemblies. Attach autoclavable tubing 1 to 2 ft to the inlets and outlets. Wrap the hose ends with a single layer of autoclavable paper. Autoclave in accordance with manufacturer's instructions usually 30 to 45 min at 15 psi (103 kPa) and 121°C.

12.3 Wrap the manifold and connecting hose (valves must be in open position) in autoclave paper and autoclave. Alternatively, the manifold may be connected to the test filter assembly outlet and autoclaved or in-situ steam sterilized simultaneously. This will eliminate one aseptic connection downstream prior to testing. This sterilization procedure should be validated using biological indicators or thermocouples.

12.4 Place all sterilized units in laminar flow bench for assembly.

12.5 The pressure vessel and the upstream connecting tubing do not need to be autoclaved, but should be thoroughly cleaned, disinfected, and flushed with sterile water prior to the test. The vessel may be disinfected with a 1 + 999 dilution of 5 % sodium hypochlorite solution or 70 % ethanol, drained, and rinsed thoroughly with water.

⁶ Confirmation of the identity of *B. diminuta* may also be achieved using molecular-based or other qualified methods (for example, 16S rRNA Sequencing, FAME).

⁷ Available from the U.S. Pharmacopeial Convention (USP) 12601 Twinbrook Pkwy, Rockville, MD 20852, <http://www.usp.org>.

12.6 Make all connections aseptically in the laminar flow bench (see **Fig. 1** for test system).

13. Test Procedure⁸

13.1 *Control*—The control is run immediately prior to the bacterial challenge test, and the control and challenge analysis filters are incubated simultaneously.

13.1.1 Add a sufficient volume of sterile buffered water or sterile saline to the pressure vessel.

13.1.2 Close Valves A, B, C.

13.1.3 Increase vessel pressure to 30 psi (207 kPa).

13.1.4 Open Valve A slowly and fill the test filter assembly with liquid. Vent air from the test filter assembly into a suitable disinfectant. When the test filter assembly is full of liquid, close the vent valve.

13.1.5 Open Valve C (control analysis filter assembly). Vent air from the control analysis filter assembly into a suitable disinfectant. Close the vent valve when the control analysis filter assembly is full of liquid.

13.1.6 Using Valve A, adjust the flow to 1.0 L/min.

13.1.7 After the entire volume has been filtered, close Valve A and then Valve C.

13.1.8 Shut off the air pressure and release the pressure in vessel.

13.1.9 Clamp the tubing closed between Valve C and the control analysis filter assembly, then cut the tubing between the clamp and Valve C. Transfer the control analysis filter assembly to the laminar flow hood. Apply vacuum briefly (15 s) to the downstream side of the control analysis filter assembly to remove all liquid. Aseptically transfer the membrane from the control analysis filter assembly onto m-plate count agar in a Petri plate. Incubate at $30 \pm 2^\circ\text{C}$. Record the number of colonies observed at 72 h and 7 days.

NOTE 3—The control analysis filter membrane must have a zero bacteria count for a valid test.

13.2 Test Challenge:

13.2.1 Add the required volume of bacteria suspension to the pressure vessel.

13.2.2 Determine the actual concentration of viable bacteria in the challenge suspension (Section 11).

13.2.3 Close Valves A, B, C.

13.2.4 Increase the vessel pressure to 30 psi (207 kPa).

13.2.5 Open Valve A slowly and fill the test filter assembly with the challenge suspension. Vent air from the test filter assembly into a suitable disinfectant. When the test filter assembly is full of liquid, close the vent valve.

13.2.6 Open Valve B (challenge analysis filter assembly). Vent air from the challenge analysis filter assembly into a suitable disinfectant. Close the vent valve when the challenge analysis filter assembly is full of liquid.

13.2.7 Using Valve A, adjust flow to 2 to 4×10^{-3} LPM/cm² EFA.

13.2.8 After the entire challenge volume has been filtered, close Valve A and then Valve B.

13.2.9 Shut off the air pressure and release the pressure in the vessel.

13.2.10 Clamp the tubing closed between Valve B and the challenge analysis filter assembly, then cut the tubing between the clamp and Valve B. Transfer the challenge analysis filter assembly to the laminar flow hood. Apply vacuum briefly (~15 s) to the downstream side of the challenge analysis filter assembly to remove all liquid. Aseptically transfer the membrane from the challenge analysis filter assembly onto m-plate count agar in a Petri plate. Incubate at $30 \pm 2^\circ\text{C}$. Record the number of colonies observed at 48 h and at 7 days. Identify each colony as *B. diminuta* or contaminant (Section 10).

13.3 *Integrity Test*—Perform an integrity test on the test filter according to the filter manufacturer's recommended procedure at the end of the challenge test.

14. Presentation of Results

14.1 *Filter Identification*—Report the filter type, catalog number, manufacturer, serial number, manufacturer's pore size rating, effective filtration area and other pertinent data.

14.2 *Operating Conditions*—Report the pressure, differential pressure, temperature, flow rate, and other pertinent parameters.

14.3 *Bacteria in Challenge Suspension*—Report the concentration of bacteria determined in step 11.7. Calculate and report the total number of bacteria in the challenge suspension.

14.4 Bacteria in Filtrate:

14.4.1 *Control*—Report the number of colonies observed, if any, on the control analysis filter membrane.

14.4.2 *Test*—Report the number of colonies observed, if any, on the challenge analysis filter membrane. Report each colony observed as *B. diminuta* or contaminant.

14.5 *Filter Integrity*—Report the parameters and results of the integrity tests employed in steps 12.1.1 and 13.3. Indicate whether the filter was judged to pass or fail each of the integrity tests, and explain the basis of the judgement.

14.6 *Filter Performance*—Calculate and report the log reduction value.

NOTE 4—The presence of any colonies on the control analysis filter membrane or of nontest organism colonies on the challenge analysis filter membrane invalidates the test.

15. Precision and Bias

15.1 Methods similar to the above test method have been employed by filter manufacturers and filter users for many years to determine the microbial retention characteristics of membrane filters. The precision of this test method is plus or minus one-log reduction value (based on Refs (1-9)).⁹

15.2 The bias of this test method cannot be determined.

15.3 Examples of these methods are available in the References Section (see Refs (1-9)).

16. Keywords

16.1 bacterial retention; liquid filtration; membrane filters

⁸ Hydrophobic filters must be pre-wetted prior to challenge. A method to accomplish this is described in **Annex A1**.

⁹ The boldface numbers in parentheses refer to the list of references at the end of this standard.

ANNEX

(Mandatory Information)

A1. PRE-WETTING OF HYDROPHOBIC MEMBRANES

A1.1 Additional Materials Required

A1.1.1 Test set-up shall include a third manifold exit and a 2-way valve (Valve D) to allow flushing of pre-wetting liquid.

A1.1.2 Reagents shall include appropriate water-miscible low surface tension pre-wetting liquids which are compatible with the filter membranes to be wetted (for example, ethanol, isopropanol, water with surfactant). The recommended maximum surface tension of the pre-wetting liquid is 26 dynes/cm².

A1.2 Pre-wetting Procedure

A1.2.1 *Timing*—This pre-wetting procedure should be carried out immediately prior to performing the test control (see 13.1).

A1.2.2 Add a sufficient volume of sterile pre-wetting liquid to the pressure vessel. The volume required will depend on the size of the test filter assembly and the membrane wetting requirements.

A1.2.3 Close Valves A, B, C, and D (set 2-way valve to flush exit).

A1.2.4 Open test filter assembly vent valve.

A1.2.5 Increase pressure to 5 psi (34.5 kPa).

A1.2.6 Open Valve A slowly and fill the filter assembly with pre-wetting liquid. When filter assembly is filled with liquid, close the vent valve.

A1.2.7 Open Valve D (to flush exit) and filter entire volume of liquid. Discard filtrate into a suitable disinfectant.

A1.2.8 Close Valve A and then Valve D (to flush exit), release the pressure from the vessel.

A1.2.9 Add a sufficient volume of sterile water to the pressure vessel.

A1.2.10 Repeat A1.2.4 – A1.2.8 with sterile water to flush the pre-wetting liquid from the test system. A second water flush may be required to remove residual pre-wetting liquid.

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